



BARNES & THORNBURG

South Meridian Street
Indianapolis, Indiana 46204

1700
164

0400
2

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group: Unknown

Certificate Under 37 CFR 1.8(a)

Confirmation

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231

No.: Unknown

on September 20, 2001

Application

No.: 09/939,242

Karen Taylor
(Signature)

Invention: MODULAR EXHAUST TREATMENT SYSTEM

Karen Taylor
(Printed Name)

Applicant: C. Joseph Geise

Filed: August 24, 2001

Attorney

Docket: 9501-68892

Examiner: Unknown

RECEIVED
OCT 11 2001

1700

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This statement is filed in the application identified above pursuant to 37 C.F.R. § 1.56. No representation is intended that a complete search has been made of the prior art or that no better art references than listed below are available. A copy of each reference is provided for review by the Examiner. The filing of this Statement shall not be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability as defined in § 1.56(b).

U. S. PATENTS

<u>Patent No.</u>	<u>Issue Date</u>	<u>Inventor</u>
2,956,865	18 Oct 1960	Williams, Sr.
3,445,196	20 May 1969	Thomas
3,757,892	11 Sep 1973	Raudman, Jr.
4,124,091	07 Nov 1978	Mizusawa
4,175,107	20 Nov 1979	Iwaoka et al
4,264,344	28 Apr 1981	Ludecke et al.
4,386,497	07 Jun 1983	Takagi et al.
4,478,618	23 Oct 1984	Bly et al.
4,512,147	23 Apr 1985	Wong
4,558,565	17 Dec 1985	Kojima et al.
4,625,511	02 Dec 1986	Scheitlin et al.
4,651,524	24 Mar 1987	Brighton
4,665,692	19 May 1987	Inaba
4,971,771	20 Nov 1990	Stahl
5,212,948	25 May 1993	Gillingham et al.
5,357,755	25 Oct 1994	Gillingham et al.
5,371,331	06 Dec 1994	Wall
5,378,435	03 Jan 1995	Gavoni
5,578,277	26 Nov 1996	White et al.
5,755,025	26 May 1998	Wirth et al.
6,048,386	11 Apr 2000	Gillingham et al.
6,072,880	06 Jun 2000	Shipps et al.
6,113,674	05 Sep 2000	Graham et al.

RECEIVED
OCT 11 2001
TC 1700

OTHER REFERENCES

- (1) *Development of a Particulate Trap System for a Heavy-Duty Diesel Engine*, J. Scott MacDonald and Gerald M. Simon, Advanced Product Engineering, Advanced Engineering Staff, General Motors Corp., pages 31-59.
- (2) *Development of Automatic Trap Oxidizer Muffler Systems*, Marty A. Barris and Gary J. Rocklitz, Donaldson Co., Inc., pages 69-86.

None of the above-cited art is believed to disclose or suggest the invention recited in the claims of the above-identified application. It is therefore believed that the claimed invention is patentably distinguishable over these references.

Please charge any fees that might be due in connection with this Information Disclosure Statement to our Deposit Account No. 10-0435.

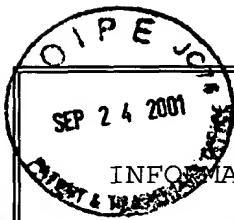
Respectfully submitted,

BARNES & THORNBURG



Richard A. Rezek
Attorney Registration No. 30796

RAR/kt
Indianapolis, Indiana 46204
317-231-7283
INDS02 RXR 404477v1

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
9501-68892SERIAL No.
09/939,242APPLICANT
C. Joseph GeiseFILING DATE
August 24, 2001GROUP
Unknown

U.S. PATENT DOCUMENTS

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date (Appropriate)
	AA	2,956,865	18 Oct 1960	Williams, Sr.			RECEIVED
	AB	3,445,196	20 May 1969	Thomas			OCT 11 2001
	AC	3,757,892	11 Sep 1973	Raudman, Jr.			
	AD	4,124,091	07 Nov 1978	Mizusawa			TC 1700
	AE	4,175,107	20 Nov 1979	Iwaoka et al			
	AF	4,264,344	28 Apr 1981	Ludecke et al.			
	AG	4,386,497	07 Jun 1983	Takagi et al.			
	AH	4,478,618	23 Oct 1984	Bly et al.			
	AI	4,512,147	23 Apr 1985	Wong			
	AJ	4,558,565	17 Dec 1985	Kojima et al.			
	AK	4,625,511	02 Dec 1986	Scheitlin et al.			

FOREIGN PATENT DOCUMENTS

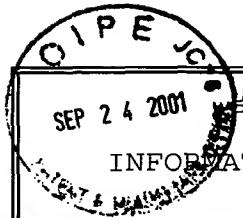
		Document Number	Date	Country	Class	Subclass	Translation Yes No
	AL						
	AM						
	AN						
	AO						
	AP						

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	Development of a Particulate Trap System for a Heavy-Duty Diesel Engine, J. Scott MacDonald and Gerald M. Simon, Advanced Product Engineering, Advanced Engineering Staff, General Motors Corp., pages 31-59
	AS	Development of Automatic Trap Oxidizer Muffler Systems, Marty A. Barris and Gary J. Rocklitz, Donaldson Co., Inc., pages 69-86
	AT	
	AU	
	AV	
	AW	
	AX	
	AY	
	AZ	

Examiner _____ Date Considered _____

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.
Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
9501-68892SERIAL No.
09/939,242APPLICANT
C. Joseph GeiseFILING DATE
August 24, 2001GROUP
Unknown

U.S. PATENT DOCUMENTS

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	BA	4,651,524	24 Mar 1987	Brighton			
	BB	4,665,692	19 May 1987	Inaba			RECEIVED
	BC	4,971,771	20 Nov 1990	Stahl			OCT 11 2001
	BD	5,212,948	25 May 1993	Gillingham et al.			
	BE	5,357,755	25 Oct 1994	Gillingham et al.			
	BF	5,371,331	06 Dec 1994	Wall			TC 1700
	BG	5,378,435	03 Jan 1995	Gavoni			
	BH	5,578,277	26 Nov 1996	White et al.			
	BI	5,755,025	26 May 1998	Wirth et al.			
	BJ	6,048,386	11 Apr 2000	Gillingham et al.			
	BK	6,072,880	06 Jun 2000	Shipps et al.			

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation Yes No
	BL						
	BM						
	BN						
	BO						
	BP						

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	BR	
	BS	
	BT	
	BU	
	BV	
	BW	
	BX	
	BY	
	BZ	

Examiner

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.
 Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
9501-68892

SERIAL No.
09/939,242

APPLICANT
C. Joseph Geise

FILING DATE
August 24, 2001

GROUP
Unknown

U.S. PATENT DOCUMENTS

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	CA	6,113,674	05 Sep 2000	Graham et al.			
	CB						
	CC						
	CD						
	CL						OCT 11 2001
	CF						TC. 1700
	CG						
	CH						
	CI						
	CJ						
	CK						

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation Yes No
	CL						
	CM						
	CN						
	CO						
	CP						

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	CR	
	CS	
	CT	
	CU	
	CV	
	CW	
	CX	
	CY	
	CZ	

Examiner	Date Considered
----------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.
Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.